



Independent Audit Committee

Meeting: Tuesday 2nd April 2019 at 10.00am
Venue: Helford Room, Andy Hocking House, Alderson Drive,
Middlemoor HQ, Exeter, Devon
EX2 7RP

AGENDA

1. Election of Chair

To elect a Chair for the year 2019/20.

To be conducted by Karen James.

2. Election of Vice Chair

To elect a Vice Chair for the year 2019/20.

To be conducted by the Chair.

3. Apologies for Absence

To record apologies for absence received from members.

4. Declarations of Interest, Equality and Health and Safety Obligations

To receive declarations by members of (a) personal interest [including their nature] and (b) prejudicial interests and to remind members of their responsibility to consider equality and health and safety in all of their decisions.

OPEN AGENDA ITEMS

5. Open Minute Items [FOIA - Open]

To confirm the minutes of the open items for the Independent Audit Committee meeting held on 11th December 2018.

6. Open Items of Chair's Business [FOIA – Open]

To be presented by the Chair.

7. Internal Audit Plan and Audit Charter [FOIA – Open]

To present the Internal Audit Plan and Audit Charter.

To be presented by Rupert Bamberger.

Not Protectively Marked
FOIA – Open



8. External Audit Plan [FOIA – Open]

a. Devon and Cornwall

b. Dorset

To present the External Audit Plan.

To be presented by Mark Bartlett.

9. External Audit Quarterly Report [FOIA – Open]

To present an update of the work of the External Audit over the last quarter.

To be presented by Mark Bartlett.

10. Statement of Accounts Preparation [FOIA - Open]

To present a statement of accounts preparation.

To be presented by Lucinda Hines.

11. Force Protected Disclosure Policy [FOIA – Open] (Paper to follow)

To present the most recent version of the Protected Disclosure Policy for information and consideration.

To be presented by Pete Windle.

CLOSED AGENDA ITEMS

12. Presentation by the Chief Constable of Devon and Cornwall

The Committee will receive a presentation from the Chief Constable around his national work on Trafficking and Modern Slavery.

13. Closed Minute Items [FOIA – Closed (various)]

To confirm the minutes of the closed items for the Independent Audit Committee meeting held on 11th December 2018.

14. Action Log [FOIA – Closed s.22]

To review the action log and receive updates for ongoing actions.

15. Closed Items of Chair's Business [FOIA - Closed (various)]

To be presented by the Chair.

Not Protectively Marked
FOIA – Open



16. Draft Annual Governance Statement [FOIA – Closed s.22]

- a. **Devon and Cornwall (Joint) (Paper to follow)**
- b. **OPCC Dorset (Paper to follow)**
- c. **Dorset Police Force (Paper to follow)**

To present the Draft Annual Governance Statements.

To be presented by Karen James.

17. Internal Audit Quarterly Update and Highlights [FOIA – Closed s.33]

To provide an update on the progress of the Internal Audit Plan over the last quarter.

To be presented by Rupert Bamberger.

18. Insurance Programme [FOIA – Closed s.43(2)]

To present the renewed joint insurance arrangements.

To be presented by Karen James.

19. Update on Fraud and Corruption Investigations [FOIA – Closed s.30(2)]

To provide an update on the number of Fraud and Corruption investigations that have taken place since the last committee.

To be presented by Karen James.

20. Risk Registers [FOIA – Closed s.31]

- a. **Devon and Cornwall Constabulary**
- b. **OPCC Devon and Cornwall**
- c. **Dorset Police Force**
- d. **OPCC Dorset**

To present the corporate risk registers.

To be presented by Karen James.

21. Strategic Alliance Update [FOIA – Closed s.22]

To provide an update on the Strategic Alliance.

To be presented by Ben Deer.

22. Audit Committee's Annual Report [FOIA – Closed s.22]

To present the Annual Report for the Independent Audit Committee.

To be presented by the Chair.

Not Protectively Marked
FOIA – Open



23. Police and Crime Commissioner's Commissioning Intentions [FOIA – Closed s.22]

- a. Devon and Cornwall
- b. Dorset (Paper to follow)

To present the intentions for the Commissioning of services by the Police and Crime Commissioner.

To be presented by Nicola Allen and Alexis Garlick.

24. Executive Summary of the Local Criminal Justice Board Compliance Report [FOIA – Closed s.33]

To present the Executive Summary of the Local Criminal Justice Board Compliance Report.

To be presented by Karen James.

25. Protocol for Independent Audit Committee Papers Management [FOIA – Closed s.22]

To present the Protocol for Independent Audit Committee Papers Management for feedback.

To be presented by Karen James.

Not Protectively Marked
FOIA – Open



Attendance

| | |
|---------------------------|---|
| Helen Donnellan (Chair) | Committee Member |
| Tom Grainger (Vice Chair) | Committee Member |
| Amanda Gallaher | Committee Member |
| Malcolm Smith | Committee Member |
| Gordon Mattocks | Committee Member |
| Linda Williams | Committee Member |
| Frances Hughes | Chief Executive Officer (Office of the Police and Crime Commissioner, Devon and Cornwall) |
| Simon Bullock | Chief Executive Officer (Office of the Police and Crime Commissioner, Dorset) |
| Sandy Goscomb | Director of Finance and Resources (Devon and Cornwall Police Force) |
| Nicola Allen | Treasurer (Office of the Police and Crime Commissioner, Devon and Cornwall) |
| John Jones | Assistant Chief Officer (Dorset Police Force) |
| Alexis Garlick | Chief Financial Officer (Office of the Police and Crime Commissioner, Dorset) |
| Karen James | Head of Alliance Audit, Insurance and Strategic Risk |
| Alex West | Alliance Senior Audit Manager |
| Neal Butterworth | Head of Finance (Devon and Cornwall and Dorset Police Force) |
| Lucinda Hines | Head of Technical Accounting (Alliance) |
| Tanya Hutchings | Administrative Officer (Office of the Police and Crime Commissioner, Devon and Cornwall) |
| Rupert Bamberger | South West Audit Partnership |
| Laura Wicks | South West Audit Partnership |
| Mark Bartlett | Grant Thornton |

Not Protectively Marked
FOIA – Open



Ben Deer

Superintendent and Strategic Alliance
Programme Delivery Manager
Superintendent and Head of
Professional Standards

Pete Windle

Apologies

Alex Walling

Grant Thornton

The Public Sector Equality Duty (PSED) as set out in **section 149 of the Equality Act 2010** requires public bodies to have **due regard** to the need to:

1. Eliminate unlawful discrimination, harassment, victimisation and any other prohibited conduct prohibited by the Act; and
2. Advance equality of opportunity between people who share a protected characteristic and people who do not share it; and
3. Foster good relations between people who share a protected characteristic and people who do not share it.

Protected Characteristics are age; disability; gender reassignment; pregnancy and maternity; race (including ethnic or national origins, colour or nationality); religion or belief (including lack of belief); sex and sexual orientation.