



## Independent Audit Committee

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**Meeting:** Tuesday 17<sup>th</sup> December 2019 at 10.00am  
**Venue:** Boardrooms 2 & 3, Force Headquarters, Winfrith, Dorchester,  
Dorset  
DT2 8DZ

### AGENDA

**1. Apologies for Absence**

To record apologies for absence received from members.

**2. Declarations of Interest, Equality and Health and Safety Obligations**

To receive declarations by members of (a) personal interest [including their nature] and (b) prejudicial interests and to remind members of their responsibility to consider equality and health and safety in all of their decisions.

### OPEN AGENDA ITEMS

**3. Open Minute Items [FOIA - Open]**

To confirm the minutes of the open items for the Independent Audit Committee meeting held on 11<sup>th</sup> September 2019.

**4. Open Items of Chair's Business [FOIA – Open]**

To be presented by the Chair.

**5. HMICFRS Value for Money Profiles 2018/19 Data [FOIA – Open]**

To present the HMICFRS Value for Money Profiles.  
To be presented by Neal Butterworth.

**6. Code of Corporate Governance [FOIA - Open]**

To present a verbal update on the Code of Corporate Governance.  
To be presented by Karen James.

**7. Internal Audit Charter [FOIA – Open] Deferred**

To present the Internal Audit Charter.  
To be presented by Rupert Bamberger.

**8. Devon and Cornwall Report and Draft Strategies [FOIA – Open]**

- a. Treasury Management Mid-Year Report**
- b. Draft 2020/21 Treasury management Strategy**
- c. Draft Capital Strategy**
- d. Draft Reserves Strategy**

To present the draft capital strategy.

To be presented by Nicola Allen.

**9. Dorset Report and Draft Strategies [FOIA – Open]**

- a. Treasury Management Mid-Year Report**
- b. Draft 2020/21 Treasury management Strategy**
- c. Draft Capital Strategy**
- d. Draft Reserves Strategy**

To present the report and draft capital strategies.

To be presented by Julie Strange.

**10. Internal Audit Quarterly Update and Highlights [FOIA – Open]**

To provide an update on the progress of the Internal Audit Plan over the last quarter.

To be presented by Rupert Bamberger/Laura Wicks.

**11. External Audit Quarterly Report [FOIA – Open]**

To present an update of work of external audit over the last quarter.

To be presented by Alex Walling/Mark Bartlett.

**12. Update on Fraud and Corruption Investigations [FOIA – Open]**

To provide an update on the number of Fraud and Corruption investigations that have taken place since the last committee.

To be presented by Karen James.

**13. Implementation of Meeting Management Software [FOIA – Open]**

To present the report for the implementation of meeting management software for the Independent Audit Committee.

To be presented by Nicola Allen.

## **CLOSED AGENDA ITEMS**

### **14. Closed Minute Items [FOIA – Closed (various)]**

To confirm the minutes of the closed items for the Independent Audit Committee meeting held on 11<sup>th</sup> September 2019.

### **15. Action Log [FOIA – Closed s.22]**

To review the action log and receive updates for ongoing actions.

### **16. Items of Chair's Business [FOIA - Closed (various)]**

To be presented by the Chair.

### **17. Medium Term Financial Strategy [FOIA – Closed s.22]**

To present a verbal update on the Medium Term Financial Strategy.  
To be presented by Neal Butterworth.

### **18. Risk Registers [FOIA – Closed s.31]**

- a. Devon and Cornwall Police Force**
- b. OPCC Devon and Cornwall**
- c. Dorset Police Force**
- d. OPCC Dorset**

To present the corporate risk registers.  
To be presented by Karen James.

## Attendance

Helen Donnellan (Chair)	Committee Member
Tom Grainger (Vice Chair)	Committee Member
Amanda Gallaher	Committee Member
Gordon Mattocks	Committee Member
Linda Williams	Committee Member
Malcolm Smith	Committee Member
Frances Hughes	Chief Executive Officer (Office of the Police and Crime Commissioner, Devon and Cornwall)
Simon Bullock	Chief Executive Officer (Office of the Police and Crime Commissioner, Dorset)
Sandy Goscomb	Director of Finance and Resources (Devon and Cornwall Police Force)
Nicola Allen	Treasurer (Office of the Police and Crime Commissioner, Devon and Cornwall)
Steve Mackenzie	Interim Assistant Chief Officer (Dorset Police Force)
Julie Strange	Chief Financial Officer (Office of the Police and Crime Commissioner, Dorset)
Karen James	Head of Alliance Audit, Insurance and Strategic Risk
Jo George	Management Accountant
Neal Butterworth	Head of Finance (Devon and Cornwall and Dorset Police Force)
Lucinda Hines	Head of Technical Accounting (Alliance)
Rupert Bamberger	South West Audit Partnership
Laura Wicks	South West Audit Partnership
Alex Walling	Grant Thornton
Mark Bartlett	Grant Thornton

## Apologies

Tanya Hutchings

Administrative Officer (Office of the  
Police and Crime Commissioner, Devon  
and Cornwall)

The Public Sector Equality Duty (PSED) as set out in **section 149 of the Equality Act 2010** requires public bodies to have **due regard** to the need to:

1. Eliminate unlawful discrimination, harassment, victimisation and any other prohibited conduct prohibited by the Act; and
2. Advance equality of opportunity between people who share a protected characteristic and people who do not share it; and
3. Foster good relations between people who share a protected characteristic and people who do not share it.

Protected Characteristics are age; disability; gender reassignment; pregnancy and maternity; race (including ethnic or national origins, colour or nationality; religion or belief (including lack of belief); sex and sexual orientation.