



Independent Audit Committee

Meeting: Tuesday 23rd July 2019 at 10.00am
Venue: Boardrooms 2 & 3, Force Headquarters, Winfrith, Dorchester,
Dorset
DT2 8DZ

AGENDA

1. Apologies for Absence

To record apologies for absence received from members.

2. Declarations of Interest, Equality and Health and Safety Obligations

To receive declarations by members of (a) personal interest [including their nature] and (b) prejudicial interests and to remind members of their responsibility to consider equality and health and safety in all of their decisions.

OPEN AGENDA ITEMS

3. Open Minute Items [FOIA - Open]

To confirm the minutes of the open items for the Independent Audit Committee meeting held on 2nd April 2019.

4. Open Items of Chair's Business [FOIA – Open]

To be presented by the Chair.

5. Internal Audit Opinion [FOIA – Open]

To provide the audit opinion for internal audit work for 2018/19.
To be presented by Laura Wicks.

6. External Audit Findings Report [FOIA – Open]

- a. Devon and Cornwall – Paper to follow
- b. Dorset

To provide the audit opinion for external audit work for 2018/19.
To be presented by Alex Walling.

7. Letters of Representation [FOIA – Open]

- a. **Devon and Cornwall – Paper to follow**
- b. **Dorset – Paper to follow**

To present the Letters of Representation.

To be presented by Nicola Allen (a) and Alexis Garlick (b).

8. Annual Financial Report for Dorset 2018/19 [FOIA – Open]

- a. **The PCC and group accounts**
- b. **The Chief Constable's accounts**

To present the annual financial report for Dorset.

To be presented by Alexis Garlick and Lucinda Hines.

9. Statement of Accounts for Devon and Cornwall 2018/19 [FOIA – Open]

- a. **The PCC and group accounts**
- b. **The Chief Constable's accounts**

To present the statement of accounts for Devon and Cornwall.

To be presented by Nicola Allen and Lucinda Hines.

10. Fees for External Audit 2019/20 [FOIA – Open]

To present the external audit fees for 19/20.

To be presented by Nicola Allen and Alexis Garlick.

11. Internal Audit Quarterly Update and Highlights [FOIA – Open]

To provide an update on the progress of the Internal Audit Plan over the last quarter.

To be presented by Laura Wicks.

12. Treasury Management Outturn 2018/19 [FOIA – Open]

- a. **Devon and Cornwall**
- b. **Dorset**

To present the final outturn for treasury management in the financial year.

To be presented by Nicola Allen (a) and Alexis Garlick (b).

13. Update on Fraud and Corruption Investigations [FOIA – Open]

To provide an update on the number of Fraud and Corruption investigations that have taken place since the last committee.

To be presented by Karen James.

14. Gifts and Hospitality Policy [FOIA – Open]

To present a verbal update on the Gifts and Hospitality Policy for information and consideration.

To be presented by Karen James.

15. Working Together Update [FOIA – Open]

To provide an update on the Strategic Alliance.

To be presented by Richard Bullock.

16. Provisional Dates for IAC Meetings 2020 [FOIA – Open]

The provisional dates for IAC meetings in 2020 will be brought to the Committee for their information.

To be presented by Karen James.

CLOSED AGENDA ITEMS

17. Presentation from Dorset PCC and CC [FOIA – Closed (various)]

The CC and PCC for Dorset will give a verbal presentation on their portfolio responsibilities.

18. Closed Minute Items [FOIA – Closed (various)]

To confirm the minutes of the closed items for the Independent Audit Committee meeting held on 2nd April 2019.

19. Action Log [FOIA – Closed s.22]

To review the action log and receive updates for ongoing actions.

20. Items of Chair's Business [FOIA - Closed (various)]

To be presented by the Chair.

21. Risk Registers [FOIA – Closed s.31]

a. Dorset Police Force

b. OPCC Dorset

To present the corporate risk registers.

To be presented by Karen James.

22. Audit Committee's Annual Report [FOIA – Closed s.22]

To present the Annual Report for the Independent Audit Committee.

To be presented by the Chair.

Attendance

Helen Donnellan (Chair)	Committee Member
Tom Grainger (Vice Chair)	Committee Member
Amanda Gallaher	Committee Member
Malcolm Smith	Committee Member
Gordon Mattocks	Committee Member
Linda Williams	Committee Member
Simon Bullock	Chief Executive Officer (Office of the Police and Crime Commissioner, Dorset)
Sandy Goscomb	Director of Finance and Resources (Devon and Cornwall Police Force)
Nicola Allen	Treasurer (Office of the Police and Crime Commissioner, Devon and Cornwall)
John Jones	Assistant Chief Officer (Dorset Police Force)
Alexis Garlick	Chief Financial Officer (Office of the Police and Crime Commissioner, Dorset)
Karen James	Head of Alliance Audit, Insurance and Strategic Risk
Neal Butterworth	Head of Finance (Devon and Cornwall and Dorset Police Force)
Lucinda Hines	Head of Technical Accounting (Alliance)
Tanya Hutchings	Administrative Officer (Office of the Police and Crime Commissioner, Devon and Cornwall)
Laura Wicks	South West Audit Partnership
Alex Walling	Grant Thornton
Mark Bartlett	Grant Thornton

A public observer will also be present.

Apologies

Frances Hughes

Chief Executive Officer (Office of the Police
and Crime Commissioner, Devon and
Cornwall)

Rupert Bamberger

South West Audit Partnership

The Public Sector Equality Duty (PSED) as set out in **section 149 of the Equality Act 2010** requires public bodies to have **due regard** to the need to:

1. Eliminate unlawful discrimination, harassment, victimisation and any other prohibited conduct prohibited by the Act; and
2. Advance equality of opportunity between people who share a protected characteristic and people who do not share it; and
3. Foster good relations between people who share a protected characteristic and people who do not share it.

Protected Characteristics are age; disability; gender reassignment; pregnancy and maternity; race (including ethnic or national origins, colour or nationality; religion or belief (including lack of belief); sex and sexual orientation.