

Not Protectively Marked
FOIA – Open



Independent Audit Committee

Meeting: Tuesday 7th April 2020 at 10.00am
Venue: This will be a closed meeting due to coronavirus

AGENDA

- 1. Election of Chair**
To elect a Chair for the year 2020/21.
To be conducted by Karen James.
- 2. Election of Vice Chair**
To elect a Vice Chair for the year 2020/21.
To be conducted by the Chair.
- 3. Apologies for Absence**
To record apologies for absence received from members.
- 4. Declarations of Interest, Equality and Health and Safety Obligations**
To receive declarations by members of (a) personal interest [including their nature] and (b) prejudicial interests and to remind members of their responsibility to consider equality and health and safety in all of their decisions.

OPEN AGENDA ITEMS

- 5. Open Minute Items [FOIA - Open]**
To confirm the minutes of the open items for the Independent Audit Committee meeting held on 17th December 2019.
- 6. Open Items of Chair's Business [FOIA – Open]**
To be presented by the Chair.
- 7. Internal Audit Plan and Charter [FOIA – Open]**
To present the Internal Audit Plan and Charter.
To be presented by Laura Wicks.

8. Internal Audit Quarterly Update and Highlights [FOIA – Open]

To provide an update on the progress of the Internal Audit Plan over the last quarter.

To be presented by Laura Wicks.

9. External Audit Plan and Timetable Update [FOIA – Open]

To present the external audit plan and timetable update for 2020/21.

To be presented by Alex Walling.

10. External Audit Quarterly Report [FOIA – Open]

To present an update of work of external audit over the last quarter.

To be presented by Alex Walling/Mark Bartlett.

11. Statement of Accounts Preparation [FOIA – Open]

To present a statement of accounts preparation.

To be presented by Lucinda Hines.

12. Update on Fraud and Corruption Investigations [FOIA – Open]

To provide an update on the number of Fraud and Corruption investigations that have taken place since the last committee.

To be presented by Karen James.

13. Insurance Programme [FOIA – Open]

To present the renewed joint insurance arrangements.

To be presented by Karen James.

14. Force Protected Disclosure Policy [FOIA – Open]

To present the most recent version of the Protected Disclosure Policy for information and consideration.

To be presented by Pete Windle. This item is deferred to the next meeting.

15. Data Analytics Sub Group Update [FOIA – Open]

To present an update of the work of the Data Analytics Sub Group.

To be presented by Nicola Allen. This item is deferred to the next meeting.

CLOSED AGENDA ITEMS

16. Closed Minute Items [FOIA – Closed (various)]

To confirm the minutes of the closed items for the Independent Audit Committee meeting held on 17th December 2019.

17. Action Log [FOIA – Closed s.22]

To review the action log and receive updates for ongoing actions.

18. Closed Items of Chair's Business [FOIA - Closed (various)]

To be presented by the Chair.

19. Draft Annual Governance Statement [FOIA – Closed s.22]

- a. Devon and Cornwall (Joint)
- b. OPCC Dorset
- c. Dorset Police Force

To present the Draft Annual Governance Statements.

To be presented by Karen James.

20. Risk Registers [FOIA – Closed s.31]

- a. Devon and Cornwall Police Force
- b. OPCC Devon and Cornwall
- c. Dorset Police Force
- d. OPCC Dorset

To present the corporate risk registers.

To be presented by Karen James.

**21. Update on Internal Financial Management Arrangements for Dorset
[FOIA – Closed s.22]**

To provide an update on Internal Financial Management Arrangements for Dorset.

To be presented by Steve Mackenzie.

22. National Fraud Initiative [FOIA – Closed s.22]

To present the final position on the National Fraud Initiative.

To be presented by Karen James.

Attendance

Helen Donnellan	Committee Member
Tom Grainger	Committee Member
Amanda Gallaher	Committee Member
Gordon Mattocks	Committee Member
Linda Williams	Committee Member
Malcolm Smith	Committee Member
Frances Hughes	Chief Executive Officer (Office of the Police and Crime Commissioner, Devon and Cornwall)
Simon Bullock	Chief Executive Officer (Office of the Police and Crime Commissioner, Dorset)
Sandy Goscomb	Director of Finance and Resources (Devon and Cornwall Police Force)
Nicola Allen	Treasurer (Office of the Police and Crime Commissioner, Devon and Cornwall)
Steve Mackenzie	Interim Assistant Chief Officer (Dorset Police Force)
Julie Strange	Chief Financial Officer (Office of the Police and Crime Commissioner, Dorset)
Karen James	Head of Alliance Audit, Insurance and Strategic Risk
Jo George	Senior Audit Manager
Neal Butterworth	Head of Finance (Devon and Cornwall and Dorset Police Force)
Lucinda Hines	Head of Technical Accounting (Alliance)
Helen Morgan	Executive Assistant (Office of the Police and Crime Commissioner for Devon and Cornwall)
Laura Wicks	South West Audit Partnership
Alex Walling	Grant Thornton
Mark Bartlett	Grant Thornton
Pete Windle	Superintendent and Head of Professional Standards

Apologies

The Public Sector Equality Duty (PSED) as set out in **section 149 of the Equality Act 2010** requires public bodies to have **due regard** to the need to:

1. Eliminate unlawful discrimination, harassment, victimisation and any other prohibited conduct prohibited by the Act; and
2. Advance equality of opportunity between people who share a protected characteristic and people who do not share it; and
3. Foster good relations between people who share a protected characteristic and people who do not share it.

Protected Characteristics are age; disability; gender reassignment; pregnancy and maternity; race (including ethnic or national origins, colour or nationality; religion or belief (including lack of belief); sex and sexual orientation.