



Independent Audit Committee

Meeting: Wednesday 29th July 2020 at 10.00am
Venue: Microsoft Teams

AGENDA

1. Apologies for Absence

To record apologies for absence received from members.

2. Declarations of Interest, Equality and Health and Safety Obligations

To receive declarations by members of (a) personal interest [including their nature] and (b) prejudicial interests and to remind members of their responsibility to consider equality and health and safety in all of their decisions.

OPEN AGENDA ITEMS

3. Open Minute Items [FOIA - Open]

To confirm the minutes of the open items for the Independent Audit Committee meeting held on 7th April 2020. To be presented by the Chair.

4. Open Items of Chair's Business [FOIA – Open]

To be presented by the Chair.

5. Internal Audit Opinion [FOIA – Open]

To provide the audit opinion for internal audit work for 2019/20.
To be presented by Laura Wicks.

6. External Audit Update [FOIA – Open]

To provide an update on the external audit work for 2019/20.
To be presented by Alex Walling.

7. Fees for External Audit 2020/21 [FOIA – Open]

To present the external audit fees for 20/21.
To be presented by Karen James.

8. Internal Audit Quarterly Update and Highlights [FOIA – Open]

To provide an update on the progress of the Internal Audit Plan over the last quarter. To be presented by Laura Wicks.

9. Making More of Internal Audit [FOIA – Open]

Presentation on changes in the way the service will be provided.
To be presented by Dave Hill.

10. Treasury Management Outturn 2019/20 [FOIA – Open]

- a. Devon and Cornwall
- b. Dorset

To present the final outturn for treasury management in the financial year.
To be presented by Nicola Allen (a) and Julie Strange (b).

11. Update on Fraud and Corruption Investigations [FOIA – Open]

To provide an update on the number of Fraud and Corruption investigations that have taken place since the last committee.
To be presented by Karen James.

12. Gifts and Hospitality Policy [FOIA – Open]

To present the Gifts and Hospitality Policy for information and consideration.
To be presented by Pete Windle.

13. Data Analytics Sub Group Update [FOIA – Open]

To provide an update on the Data Analytics Sub Group meeting that has taken place since the last committee.
To be presented by Nicola Allen.

14. PSAA – Quality of Audit Service- Feedback Survey [FOIA – Open]

To provide an update on the findings of the Audit Survey.
To be presented by Karen James.

15. Audit Committee's Draft Annual Report [FOIA – Open]

To present the draft Annual Report for the Independent Audit Committee.
To be presented by the Chair.

CLOSED AGENDA ITEMS

16. Closed Minute Items [FOIA – Closed (various)]

To confirm the minutes of the closed items for the Independent Audit Committee meeting held on 7th April 2020. To be presented by the Chair.

17. Action Log [FOIA – Closed s.22]

To review the action log and receive updates for ongoing actions. To be presented by the Chair.

18. Items of Chair's Business [FOIA - Closed (various)]

To be presented by the Chair.

19. Internal Audit Quarterly Update and Highlights [FOIA – Closed s.33]

a. Appendix B

b. Appendix C

To provide an update on the progress of the Internal Audit Plan over the last quarter. To be presented by Laura Wicks.

20. Risk Registers [FOIA – Closed s.31]

a. Dorset Police Force

b. OPCC Dorset

c. Covid 19 Silver Recovery

To present the corporate risk registers.

To be presented by Karen James.

21. Mod.Gov Update Report [FOIA – Closed s.22]

Verbal update for the Independent Audit Committee.

To be presented by Nicky Allen.

22. Update on Director of Legal, Reputation and Risk [FOIA – Closed s.36]

To present an update on the portfolio for Director of Legal Reputation and Risk to the Independent Audit Committee.

To be presented by Steve Lyne.

Attendance

Helen Donnellan Committee Member
Tom Grainger Committee Member

Amanda Gallaher Committee Member
Gordon Mattocks Committee Member
Linda Williams Committee Member
Malcolm Smith Committee Member

Simon Bullock Chief Executive OPCC Dorset
Nicola Allen Treasurer (Office of the Police and Crime Commissioner,
Devon and Cornwall)

Not Protectively Marked
FOIA – Open

Steve Mackenzie	Interim Assistant Chief Officer (Dorset Police Force)
Julie Strange	Chief Financial Officer (Office of the Police and Crime Commissioner, Dorset)
Karen James	Head of Alliance Audit, Insurance and Strategic Risk
Jo George	Senior Audit Manager
Neal Butterworth	Head of Finance (Devon and Cornwall and Dorset Police Force)
Helen Morgan	Executive Assistant (Office of the Police and Crime Commissioner for Devon and Cornwall)
Laura Wicks	South West Audit Partnership
Alex Walling	Grant Thornton
Mark Bartlett	Grant Thornton
Lucinda Hines	Head of Technical Accounting
David Hill	Chief Executive South West Audit Partnership
Steve Lyne	Chief Superintendent Dorset Police
Pete Windle	Superintendent Dorset Police

Apologies

Fran Hughes	Chief Executive OPCC Devon and Cornwall
Sandy Goscomb	Director of Finance and Resources (Devon and Cornwall Police Force)

The Public Sector Equality Duty (PSED) as set out in **section 149 of the Equality Act 2010** requires public bodies to have **due regard** to the need to:

1. Eliminate unlawful discrimination, harassment, victimisation and any other prohibited conduct prohibited by the Act; and
2. Advance equality of opportunity between people who share a protected characteristic and people who do not share it; and
3. Foster good relations between people who share a protected characteristic and people who do not share it.

Protected Characteristics are age; disability; gender reassignment; pregnancy and maternity; race (including ethnic or national origins, colour or nationality; religion or belief (including lack of belief); sex and sexual orientation.